

Ph.D. Comprehensive Examination

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August 14, 2020

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Problem number	Points (10 max)
1	
2	
3	
4	
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9	
10	
Total:	

1. I.A Data organization; III.A Algorithms and complexity

Each item x in a set S has a unique key $key[x]$. We need to implement the following operations.

- (a) Search (S, key)
- (b) Insert (S, x)
- (c) Successor (S, x)
- (d) Predecessor (S, x)

Give the 4 running times as an $O()$ for the following implementations:

- (a) Ordered (sorted) array,
- (b) Ordered doubly linked list,
- (c) Min-Heap, and
- (d) Hash table

(a.) (a). Search: $O(\log n)$ (b) Insert: $O(n)$ (c) Succ: $O(1)$
(d): ~~pred~~ pred: $O(1)$

b. (a). Search: $O(n)$ (b) Insert: $O(n)$ (c). Succ: $O(1)$ (d) pred: $O(1)$

c. (a). Search: $O(n)$ (b) Insert: $O(\log n)$ (c). Succ: $O(n)$ (d). Pre: $O(n)$

d. (a) Search: $O(1)$, (b) Insert: $O(1)$, c: Succ: $O(n)$ (d). pred: $O(n)$

2. I.B Program control and structure; I.C Programming language and notations

Suppose that procedure *swap* is declared as follows:

```

procedure swap( x, y: integer);
  procedure f(): integer;
    var z: integer;
    begin // f
      z = x; x = y; return z;
    end // f
  begin // swap
    y = f();
  end // swap

```

Describe the effect of the procedure call *swap*(i, A[i]) under each of the following parameter passing methods:

- (a) Call-by-value
- (b) Call-by-reference
- (c) Call-by-value-result

(a). Call-by-value:

pass the copy of ~~the~~ *x, y*, into *swap()*. the global *x, y*'s value won't be change. return to a new *z* where *z = x*

(b). Call-by-reference

pass the ~~copy~~ ^{reference} of *x, y*, into *swap()*. the global *x, y*, value will be changed. return to *z* value = global *y* value

(c). ~~pass~~ pass the value of *x, y*, into *swap()* before the *swap()* finished, the value of global *x, y* won't be changed.

When *swap()* finish, ~~the~~ *x* is into global *y*, so the return *z* should equals to *y*.

3. I.D Software engineering

From the software engineering point of view, any software development process can be divided into several sub-disciplines:

- (a) Requirement Analysis
- (b) Functional Specification
- (c) Architectural Design
- (d) Implementation
- (e) Testing and Evaluation
- (f) Maintenance

Choose three sub-disciplines or tasks within these sub-disciplines that involve a mathematical approach, and illustrative them with examples.

(a). Requirement Analysis.

Gathering the requirements from the customers, and try to fulfill their expectations. Analysis other factors like ^{money} cost, finish time etc.

$$\text{Goal} = \sum_{i=1}^n \text{Requirements,}$$

~~Requirement~~

b). Functional specification.

Due to the goal, make sure how many functions the program should be contained. Like, a ^{online} game should be included with Art, programming, & drama, background, network, communication parts.

$$\text{Main software} = \sum_{i=1}^n \text{functions.}$$

(c). Implementation.

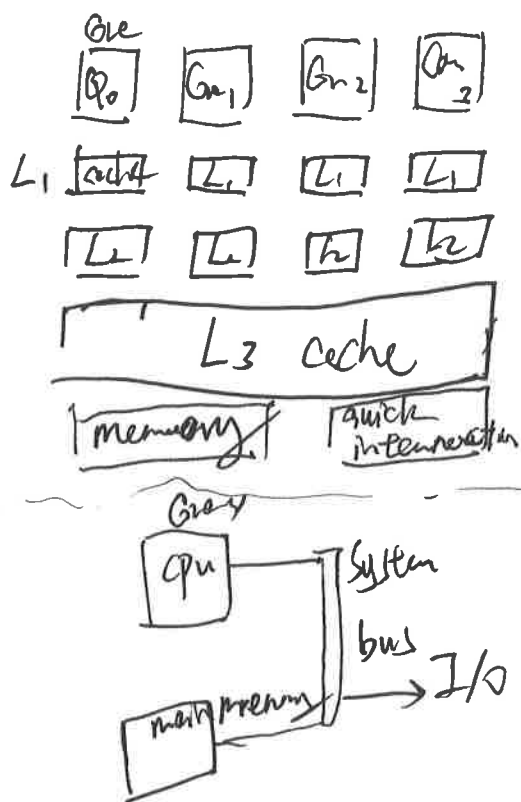
After having the architectural design, we need to convert the design into code. Usually in a big company or studio, it should be separated into different modules. P.Code = $\sum_{i=1}^n \text{module}_i$.

4. I.E Systems

- (a) _____ linked libraries can support shared library code, allowing one copy of a library routine to be used by several different processes.
absolute **relative** **static** **dynamic** **none of these is correct**
- (b) When it is not known at compile time where a process will reside in memory, _____ code must be generated.
logical **physical** **absolute** **relocatable**
- (c) A UNIX process calls *fork()* to create a child process as shown: *pid = fork()*;
i. What value will be assigned to *pid* in the parent process by the call to *fork()*?
the parent's process id **the child's process id** **zero** **none of these**
ii. What value will be assigned to *pid* in the child process by the call to *fork()*?
the parent's process id **the child's process id** **zero** **none of these**
- (d) The Banker's algorithm is used for deadlock _____.
denial **prevention** **avoidance** **recovery**
- (e) Belady's anomaly can affect the performance of the _____ page replacement algorithm.
FIFO **LRU** **optimal** **SJF**
- (f) _____ access files are made of fixed length records that allow programs to read and write records in no particular order.
sequential **direct** **logical** **none of these is correct**
- (g) When an I/O request is being handled for a user's process, which term refers to the policy of returning control to the user process before the I/O is completed?
synchronous I/O **asynchronous I/O** **delayed I/O** **none of these**
- (h) Which multithreading model requires that a new kernel thread be created for each new user thread?
many-to-one **one-to-one** **many-to-many** **none of these is correct**
- (i) A process that does not affect, and is not affected by, another process is referred to as:
static **independent** **cooperating** **dynamic** **unbounded**

5. II Computer Organization

- Draw an architecture of a quad-core processor and discuss the role of each module in your diagram.
- Find a binary representation of the decimal number 0.1.



in each node: CPU, ALU, register, control units.

System bus connects, Goes with, the main memories and I/O system.

CPU contains ALU and control unit
main memory contains the data and instructions
I/O system is controlled by the control unit
in CPU.

- in L1 cache: contains data and instructions
- in L2 cache: contains left address, in L1
- in L3 cache: contains shared address for cores

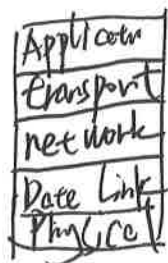
, so $v.1$ could be represented as

0.0001/00/00/00/

$0.1 \times 2 =$	0.2
$0.2 \times 2 =$	0.4
$0.4 \times 2 =$	0.8
$0.8 \times 2 =$	1.6
$1.6 \times 2 =$	3.2
$3.2 \times 2 =$	6.4
$6.4 \times 2 =$	12.8
$12.8 \times 2 =$	25.6
$25.6 \times 2 =$	51.2
$51.2 \times 2 =$	102.4
$102.4 \times 2 =$	204.8
$204.8 \times 2 =$	409.6
$409.6 \times 2 =$	819.2
$819.2 \times 2 =$	1638.4
$1638.4 \times 2 =$	3276.8
$3276.8 \times 2 =$	6553.6
$6553.6 \times 2 =$	13107.2
$13107.2 \times 2 =$	26214.4
$26214.4 \times 2 =$	52428.8
$52428.8 \times 2 =$	104857.6
$104857.6 \times 2 =$	209715.2
$209715.2 \times 2 =$	419430.4
$419430.4 \times 2 =$	838860.8
$838860.8 \times 2 =$	1677721.6
$1677721.6 \times 2 =$	3355443.2
$3355443.2 \times 2 =$	6710886.4
$6710886.4 \times 2 =$	13421772.8
$13421772.8 \times 2 =$	26843545.6
$26843545.6 \times 2 =$	53687091.2
$53687091.2 \times 2 =$	107374182.4
$107374182.4 \times 2 =$	214748364.8
$214748364.8 \times 2 =$	429496729.6
$429496729.6 \times 2 =$	858993459.2
$858993459.2 \times 2 =$	1717986918.4
$1717986918.4 \times 2 =$	3435973836.8
$3435973836.8 \times 2 =$	6871947673.6
$6871947673.6 \times 2 =$	13743895347.2
$13743895347.2 \times 2 =$	27487790694.4
$27487790694.4 \times 2 =$	54975581388.8
$54975581388.8 \times 2 =$	109951162777.6
$109951162777.6 \times 2 =$	219902325555.2
$219902325555.2 \times 2 =$	439804651110.4
$439804651110.4 \times 2 =$	879609302220.8
$879609302220.8 \times 2 =$	1759218604441.6
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$225179981368524.8 \times 2 =$	450359962737049.6
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$3602879701896396.8 \times 2 =$	7205759403792793.6
$7205759403792793.6 \times 2 =$	14411518807585587.2
$14411518807585587.2 \times 2 =$	28823037615171174.4
$28823037615171174.4 \times 2 =$	57646075230342348.8
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$115292150460684697.6 \times 2 =$	230584300921369395.2
$230584300921369395.2 \times 2 =$	461168601842738790.4
$461168601842738790.4 \times 2 =$	922337203685477580.8
$922337203685477580.8 \times 2 =$	1844674407370955161.6
$1844674407370955161.6 \times 2 =$	3689348814741910323.2
$3689348814741910323.2 \times 2 =$	7378697629483820646.4
$7378697629483820646.4 \times 2 =$	14757395258967641292.8
$14757395258967641292.8 \times 2 =$	29514790517935282585.6
$29514790517935282585.6 \times 2 =$	59029581035870565171.2
$59029581035870565171.2 \times 2 =$	118059162071741130342.4
$118059162071741130342.4 \times 2 =$	236118324143482260684.8
$236118324143482260684.8 \times 2 =$	472236648286964521369.6

6. II.D Networking and Communications

- (a) Draw a diagram showing layers of the Internet Protocol Stack and briefly discuss role of each layer.
- (b) Describe functions of each layer when a file is transferred from a source to destination using (file transfer protocol (FTP)).



(a) 1. Physical: the medium like cable, wire, and wifi which let the ~~package~~ truck used to deliver package.

2. Data Link: imputed on network interface card. each has eMAC. when connect between host and router, pick which one to connect based on 4 type services. ^{different}

3. Network: search the way established by Data Link, by the protocol TCP/IP or other rules. In this process, like to find a way for A to B by address. network will find the right way, fast without conflict.

4. Transport: Get the connection between the host and the remote. There has two types, TCP and UDP, one need get full connection they other not. ~~other~~

5. Application: You get the ~~package~~ and open it, like open Email or play online client of online games.

(b). ~~Transport layer~~ FTP use two ports on transport layer. Port 20 and Port 21. One used to trans data, others used to trans control information, like username, password.

In ~~the~~ need to ~~get~~ open a gate for doing transport, when finished, close the gate. Application layer ^{may} be used to ^{enter} the account.

7. III.A Algorithms and complexity

Describe an algorithm that takes two input lists of integers $A = a_1, \dots, a_n$ and $B = b_1, \dots, b_m$ and delivers the list of all the elements that belong to A but not to B . A and B do not contain redundant elements, however, the elements of A and B might have a large range.

The algorithm should run in $O(n \log m + m \log m)$ time.

- ① first sort A and sort B .
this will take $O(n \log m + m \log m)$ time.
- ②. iterate all the item in A , and compare ^{with} the item in B one by one. ~~start~~ i, j , ~~start~~ from 0. $j++$.

if $A[i] < B[j]$, $A[i]$ is not in B , $i++$

if $A[i] == B[j]$, $A[i]$ is in B , skip.

if $A[i] > B[j]$, ~~$B[i]$~~ $A[i]$ is not in B , $j++$

when the iteration is done, all the parsed A are not in B .

if there has item left in A , but B is finished, the rest A can't be in B . ~~add~~ add rest A and parsed A .

The time for search will be $O(n+m)$.

$$\text{So the algorithm is } O(n \log m + m \log m) + O(n+m) \\ = O(n \log m + m \log m)$$

8. Automata and language theory

Consider the following grammar:

$$G \rightarrow S \$ \$$$

$$S \rightarrow A M$$

$$M \rightarrow S | \epsilon$$

$$A \rightarrow a E | b A A$$

$$E \rightarrow a B | b A | \epsilon$$

$$\bar{B} \rightarrow b E | a B B$$

(a) Describe the language that the grammar generates in English.

(b) Show a parse tree for the string a b a a.

(c) Is the grammar LL(1)? If so, show the parse table; if not, identify a prediction conflict.

(a) in the ~~following~~ grammar, start from S , S , G , A , E , B are the nonterminals. a , b are the terminals, and there are productive rules for these G, S, M, A, E, B .



(c). Yes

$$S \rightarrow A M$$

$$S \rightarrow a E M$$

$$S \rightarrow a b A M$$

$$S \rightarrow a b a E M$$

$$S \rightarrow a b a a M$$

$$S \rightarrow a b a (S)$$

$$S \rightarrow a b a (A M)$$

$$S \rightarrow a b a (a E M)$$

$$S \rightarrow a b a a M$$

$$S \rightarrow a b a a (E)$$

each
step
is
left-to-right
and I saw

parse table:

A	a E	A →	a E	b A	a E	A M	S
						A M	
						a E	

9. III.C Discrete Structures

Recall that the Hamiltonian Cycle Problem is the problem of deciding, on input graph G , whether G has a cycle that visits all the nodes exactly once. Show that this problem is polynomial time decidable if the input is restricted to the graphs with the property that each node has at most two neighbors (i.e., at most two adjacent nodes).



$G(V, E)$ V is the node number,
is each ~~node~~ ^{node} connect 2 nodes.
 $E = 2V,$

Start from a random node, visit each node
once, take $O(V+E) = O(3V).$

from a node ^{to} do depth first search to find
next unvisited node, ~~the~~ after all the nodes been
visited, by DFS it will take $O(V^2).$

So the whole time will take $O(V^2) + O(3V)$

$\therefore O(V^2)$ is polynomial $= O(V^2)$

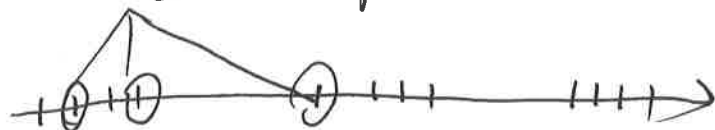
10. IV Other Topics

Give a detailed explanation of any one approach to machine learning. Give a substantial example that illustrates the technical operation of the approach, and demonstrates interesting knowledge learned.

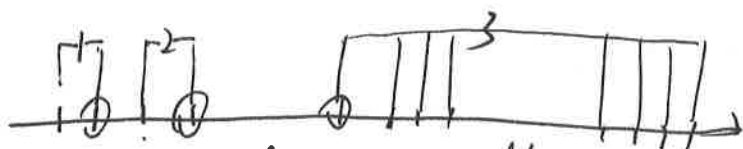
K-mean clustering.
if there has 12 nodes in three clusters in a line like



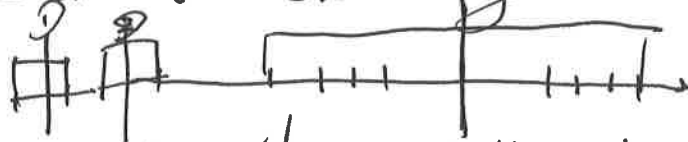
1. Randomly pick up three nodes as 3 clusters



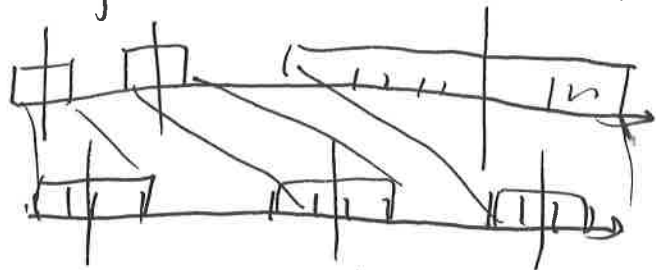
2. find the ~~oth~~ distance between other points to three points cluster the ~~par~~ nodes ~~with~~ to three clusters with the distance between the ~~doest~~ cluster



3. then find the ~~mid mean~~ mid point of 3 clusters, then redo the clustering



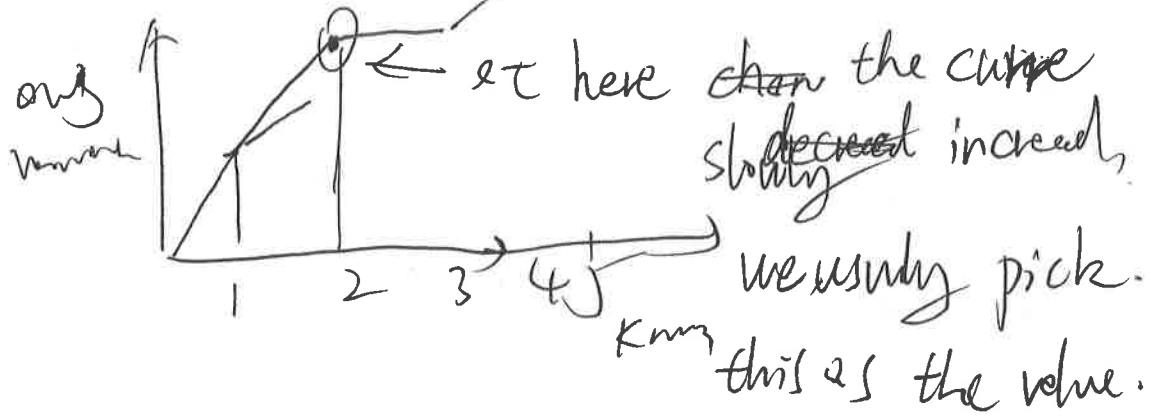
4. Compare the variation between the results with actual one



5. To see a better results, need to do more k-means down back

6.. usually, K 's value is unknown, need to do
testing with different K value's to find a
best K .

when using different K -value, the τ value, could
be as



K-mean is a easy, useful algorithm,
some times combine it with others like spectrum
to display to results.