Data Structures and Algorithm Analysis (CSC317)



Introduction: sorting as example

Sorting

• We're looking at sorting as an example of developing an algorithm and analyzing run time

Sorting as example: Insertion sort

Animation example:

http://cs.armstrong.edu/liang/animation/web/InsertionSortNew.html

Insertion sort: analysis of run time

• Repeat polls...

Insertion sort: summary

- Input: size n
- Best case: e.g., already sorted, grows like n
- Worst case: e.g., reverse sorted, grows like n squared

Insertion sort: summary

- Input: size n
- Best case: e.g., already sorted, grows like n
- Worst case: e.g., reverse sorted, grows like n squared
- Average case often roughly as bad as worst case

Insertion sort: summary

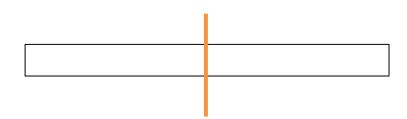
- Input: size n
- Best case: e.g., already sorted, grows like n
- Worst case: e.g., reverse sorted, grows like n squared
- Average case often roughly as bad as worst case
- So we say that Insertion Sort grows like n squared

Sorting as example

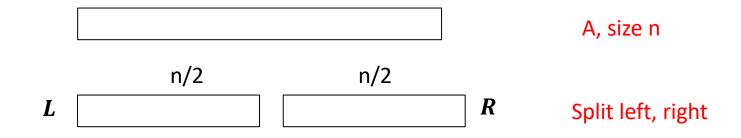
- Insertion sort "grows like" n^2
- Can we do better?? How?

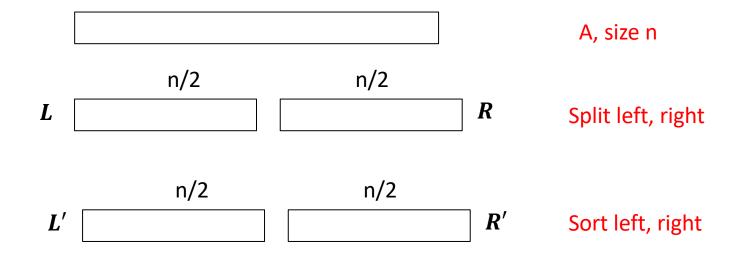
Sorting as example

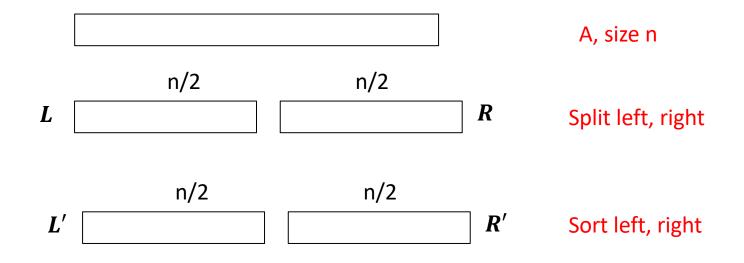
- Insertion sort "grows like" n^2
- Can we do better?? How?



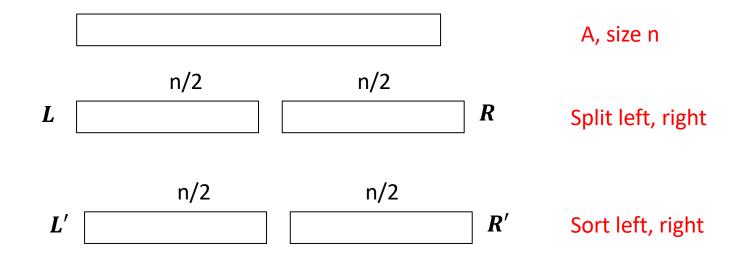
Split in half ... What should we do?



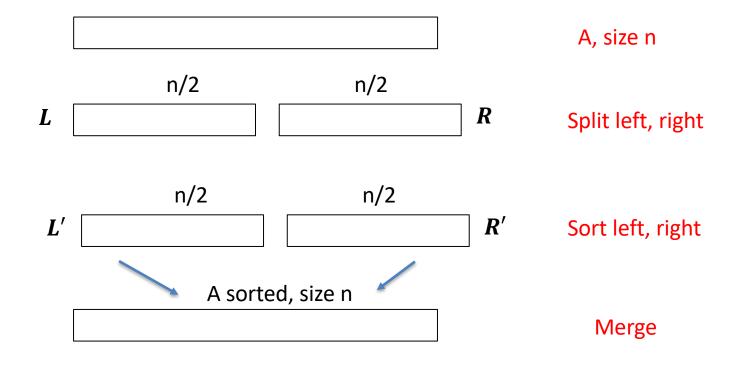




How do we sort left and right??



How do we sort left and right?? e.g., use Insertion Sort



A: 8 2 7 5 3 9 4 6

- L: 8 2 7 5 R: 3 9 4 6
- L': 2578. R': 3469

A: 82753946

L: 8 2 7 5 R: 3 9 4 6

L': 2578. R': 3469

Merging L' and R':

A sorted: 2 L': <u>2</u>578 R': <u>3</u>469

A: 8 2 7 5 3 9 4 6

L: 8 2 7 5 R: 3 9 4 6

L': 2578. R': 3469

A sorted: 2	Ľ: <u>2</u> 578	R': <u>3</u> 4 6 9
23	Ľ: 2 <u>5</u> 7 8	R': <u>3</u> 4 6 9

A: 8 2 7 5 3 9 4 6

L: 8 2 7 5 R: 3 9 4 6

L': 2578. R': 3469

A sorted: 2	Ľ: <u>2</u> 5 7 8	R': <u>3</u> 4 6 9
23	Ľ: 2 <u>5</u> 7 8	R': <u>3</u> 469
23	4 L': 2 <u>5</u> 78	R': 3 <u>4</u> 69

A: 8 2 7 5 3 9 4 6

L: 8 2 7 5 R: 3 9 4 6

L': 2578. R': 3469

A sorted: 2	Ľ: <u>2</u> 578	R': <u>3</u> 469
23	Ľ: 2 <u>5</u> 7 8	R': <u>3</u> 4 6 9
234	Ľ: 2 <u>5</u> 7 8	R': 3 <u>4</u> 69
2345	Ľ: 2 5 <u>7</u> 8	R': 3 <u>4</u> 69

A: 8 2 7 5 3 9 4 6

L: 8 2 7 5 R: 3 9 4 6

L': 2578. R': 3469

A sorted:	2	Ľ: <u>2</u> 5 7 8	R': <u>3</u> 4 6 9
	23	Ľ: 2 <u>5</u> 7 8	R': <u>3</u> 4 6 9
	234	Ľ: 2 <u>5</u> 7 8	R': 3 <u>4</u> 69
	2345	Ľ: 2 5 <u>7</u> 8	R': 3 <u>4</u> 6 9
	23456	Ľ: 2 5 <u>7</u> 8	R': 3 4 <u>6</u> 9

A: 8 2 7 5 3 9 4 6

L: 8 2 7 5 R: 3 9 4 6

L': 2578. R': 3469

Merging L' and R':

A sorted:	2	Ľ: <u>2</u> 5 7 8	R': <u>3</u> 4 6 9
	2 3	Ľ: 2 <u>5</u> 7 8	R': <u>3</u> 4 6 9
	234	Ľ: 2 <u>5</u> 7 8	R': 3 <u>4</u> 69
	2345	Ľ: 2 5 <u>7</u> 8	R': 3 <u>4</u> 6 9
	23456	Ľ: 2 5 <u>7</u> 8	R': 3 4 <u>6</u> 9
	23456789	Ľ: 2 5 7 8	R': 3 4 6 9

(book: add infinity at the end)

A: 8 2 7 5 3 9 4 6		
L: 8 2 7 5 R: 3 9 4 6	What hap end of L'	opens if reach first?
L': 2578. R': 3469		
Merging L' and R':		
A sorted: 2	Ľ': <u>2</u> 5 7 8	R': <u>3</u> 4 6 9
23	Ľ: 2 <u>5</u> 7 8	R': <u>3</u> 4 6 9
234	Ľ: 2 <u>5</u> 7 8	R': 3 <u>4</u> 69
2345	L': 2 5 7 8	R': 3 4 6 9
23456	Ľ: 2 5 <u>7</u> 8	R': 3 4 <u>6</u> 9
23456789	Ľ: 2 5 7 8	R': 3 4 6 9
(book: add infinit	wat the end)	

(book: add infinity at the end)

A: 8 2 7 5 3 9 4 6	What happens if reach	
L: 8 2 7 5 R: 3 9 4 6	end of L' first?	
L': 2 5 7 8. R': 3 4 6 9	Can copy rest of R' over since	
Merging L' and R':	sorted	
A sorted: 2	L': <u>2</u> 5 7 8	R': <u>3</u> 4 6 9
2 3	L': 2 <u>5</u> 7 8	R': <u>3</u> 4 6 9
2 3 4	L': 2 <u>5</u> 7 8	R': 3 <u>4</u> 6 9
2 3 4 5	L': 2 5 <u>7</u> 8	R': 3 <u>4</u> 6 9
2 3 4 5 6	L': 2 5 <u>7</u> 8	R': 3 4 <u>6</u> 9
 2 3 4 5 6 7 8 9 (book: add infinity		R': 3 4 6 9

(book: add infinity at the end)

Animation example of Merge:

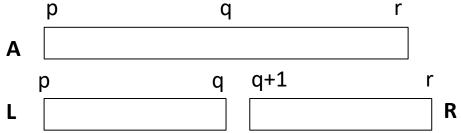
http://cs.armstrong.edu/liang/animation/web/MergeSortNew.html

Breakout rooms: 5 minutes to discuss run time of Merge for input size n

Poll: run time of Merge

Pseudocode of Merge

MERGE(A, p, q, r)1 $n_1 = q - p + 1$ L 2 $n_2 = r - q$ 3 let $L[1 \dots n_1 + 1]$ and $R[1 \dots n_2 + 1]$ be new arrays 4 **for** i = 1 **to** n_1 5 L[i] = A[p+i-1]6 **for** j = 1 **to** n_2 7 R[j] = A[q+j]8 $L[n_1 + 1] = \infty$ 9 $R[n_2 + 1] = \infty$ $10 \quad i = 1$ 11 i = 112 **for** k = p **to** r13 if $L[i] \leq R[j]$ 14 A[k] = L[i]i = i + 115 else A[k] = R[j]16 j = j + 117

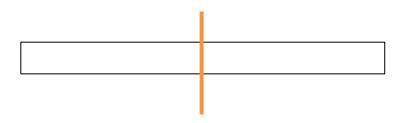


(from the Cormen textbook)

• Splitting array in half might pose an easier problem...

Costs:

• Divide Left and Right:



• Splitting array in half might pose an easier problem...

Costs:

• Divide Left and Right: constant C_1

• Splitting array in half might pose an easier problem...

Costs:

- Divide Left and Right: constant C_1
- Sort Left: with Insertion Sort
- Sort Right: with Insertion Sort

• Splitting array in half might pose an easier problem...

Costs:

- Divide Left and Right: constant C_1
- Sort Left: with Insertion Sort
- Sort Right: with Insertion Sort

$$c_2 \left(\frac{n}{2}\right)^2$$
$$c_2 \left(\frac{n}{2}\right)^2$$

• Splitting array in half might pose an easier problem...

Costs:

- Divide Left and Right: constant C_1
- Sort Left: with Insertion Sort
- Sort Right: with Insertion Sort

$$c_2 \left(\frac{n}{2}\right)^2$$
$$c_2 \left(\frac{n}{2}\right)^2$$

• Merge:

• Splitting array in half might pose an easier problem...

Costs:

- Divide Left and Right: constant C_1
- Sort Left: with Insertion Sort
- Sort Right: with Insertion Sort

$$c_2 \left(\frac{n}{2}\right)^2$$
$$c_2 \left(\frac{n}{2}\right)^2$$

• Merge: $C_3 n$

• Splitting array in half might pose an easier problem...

Costs:

- Divide Left and Right: constant C_1
- Sort Left: with Insertion Sort
- Sort Right: with Insertion Sort
- Merge $C_3 n$

• Total:
$$c_1 + 2c_2 \left(\frac{n}{2}\right)^2 + c_3 n$$

$$c_2 \left(\frac{n}{2}\right)^2$$
$$c_2 \left(\frac{n}{2}\right)^2$$

• Splitting array in half might pose an easier problem...

Costs:

- Divide Left and Right: constant C_1
- Sort Left: with Insertion Sort
- Sort Right: with Insertion Sort
- Merge $C_3 n$

• Total:
$$c_1 + 2c_2 \left(\frac{n}{2}\right)^2 + c_3 n$$

(Have we gained from Insertion sort? Cn^2)

$$c_2 \left(\frac{n}{2}\right)^2$$
$$c_2 \left(\frac{n}{2}\right)^2$$

Another example: find min

Input: Array A Output: Find minimum value in array A

MINIMUM(A)

1
$$min = A[1]$$

2 for $i = 2$ to A .length
3 if $min > A[i]$
4 $min = A[i]$
5 return min

Grows like?

Another example: find min

Input: Array A Output: Find minimum value in array A

MINIMUM(A)

1
$$min = A[1]$$

2 for $i = 2$ to A .length
3 if $min > A[i]$
4 $min = A[i]$
5 return min

Grows like? Cost is Cn (just go through each element keeping track of min)

Another example: find min

• Splitting array in half might pose an easier problem... (does it always?). See also:

http://www.cs.miami.edu/~burt/learning/Csc517.101/workbook/cheaperbyhalf.html

Costs:

- Divide Left and Right: constant C_1
- Find minimum of Left
- Find minimum of Right

$$c_2\left(\frac{n}{2}\right)$$
$$c_2\left(\frac{n}{2}\right)$$
$$C_3$$

• Combine the two minimums

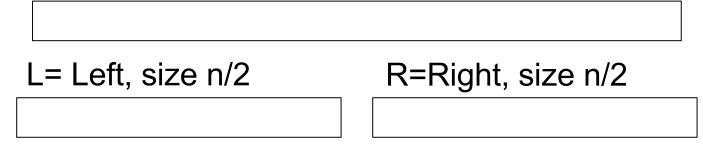
• Total:
$$C_1 + 2C_2\left(\frac{n}{2}\right) + c_3$$

(Have we gained from find min on full array? CN). NO

Sorting as example: BACK TO Merge sort

Splitting array in half might pose an easier problem...

Array A, size n



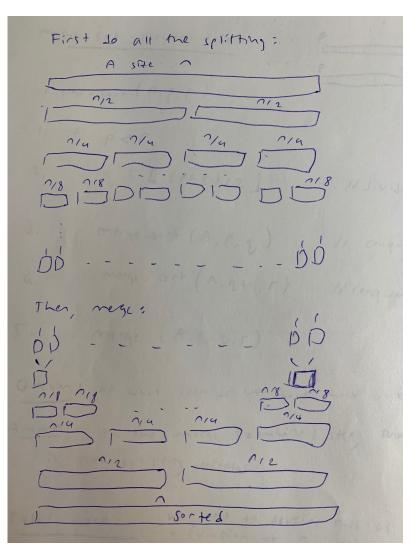
If we can split once and make the problem easier, we can continue to do so....

On the Zoom whiteboard

Sorting as example: BACK TO Merge sort

If we can split once and make the problem easier,

we can continue to do so....



Merge sort: high level pseudo code



- Merge-Sort
- If array larger than size 1
- Divide array into Left and Right arrays // divide
- Merge-Sort(Left array) // conquer left; recursive call
- Merge-Sort(Right array) // conquer right; recursive call
- Merge sorted Left and Right arrays // combine

Merge sort: pseudo code

MERGE-SORT (A, p, r)1if p < r2 $q = \lfloor (p+r)/2 \rfloor$ 3MERGE-SORT (A, p, q)4MERGE-SORT (A, q+1, r)5MERGE (A, p, q, r)

p q r p q q+1 r

MERGE-SORT(A, p, r)

1 **if**
$$p < r$$

2 $q = \lfloor (p+r)/2 \rfloor$ // divide
3 MERGE-SORT(A, p, q) // conquer left
4 MERGE-SORT($A, q+1, r$) // conquer right
5 MERGE(A, p, q, r) // combine

Question: At what step do we have most work?

MERGE-SORT(A, p, r)

1if
$$p < r$$
2 $q = \lfloor (p+r)/2 \rfloor$ // divide3MERGE-SORT(A, p, q)// conquer left4MERGE-SORT(A, q + 1, r)// conquer right5MERGE(A, p, q, r)// combine

Question: At what step do we have most work?

In the Merge (combine) step; the rest is just splitting arrays...

Total work:

Divide: constant Combine: *CN* Conquer: recursively solve two subproblems, each size n/2

Total work:

Divide: constant Combine: *CN* Conquer: recursively solve two subproblems, each size n/2

We'll write out the recursion as follows:

$$T(n) = 2T(\frac{n}{2}) + cn$$

recursion combine

Total work:

Divide: constant Combine: *CN* Conquer: recursively solve two subproblems, each size n/2

We'll write out the recursion as follows:

$$T(n) = 2T(\frac{n}{2}) + cn$$

recursion combine
Total: grows like $n \log_2(n)$ Good deal!
Compare to insertion sort

$$T(n) = 2T(\frac{n}{2}) + cn$$

recursion combine

Total: grows like
$$n \log_2(n)$$

Good deal! Compare to insertion sort

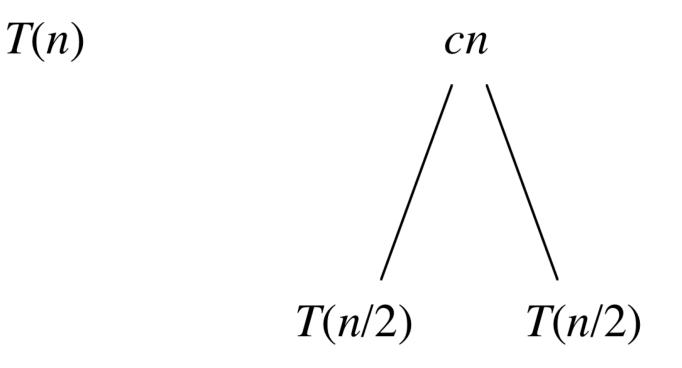
How did we get this?

(First intuition, on the whiteboard...)

Merge sort: recursion tree

$$T(n) = 2T(\frac{n}{2}) + cn$$

recursion combine

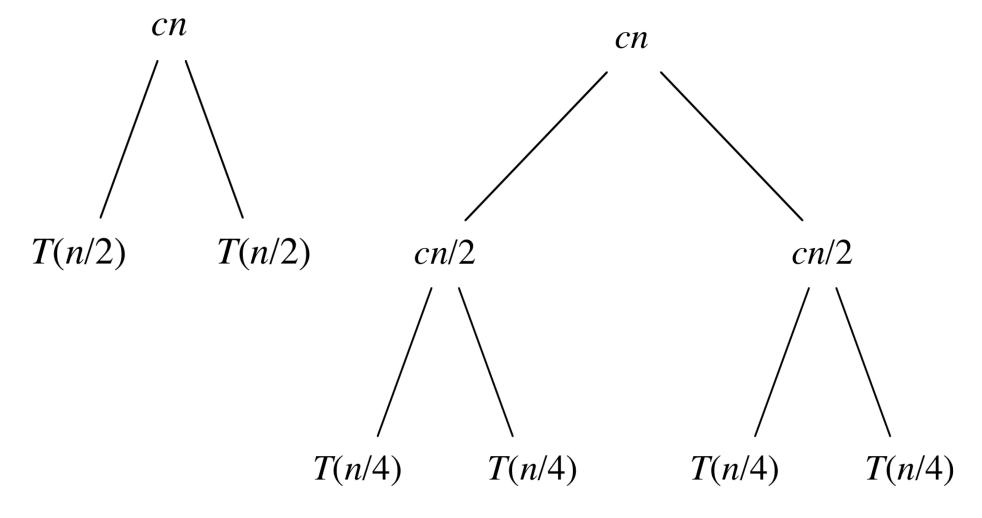


Merge sort: recursion tree Let's expand each T(n/2): $T(n/2) = 2T(\frac{n/2}{2}) + c(n/2)$

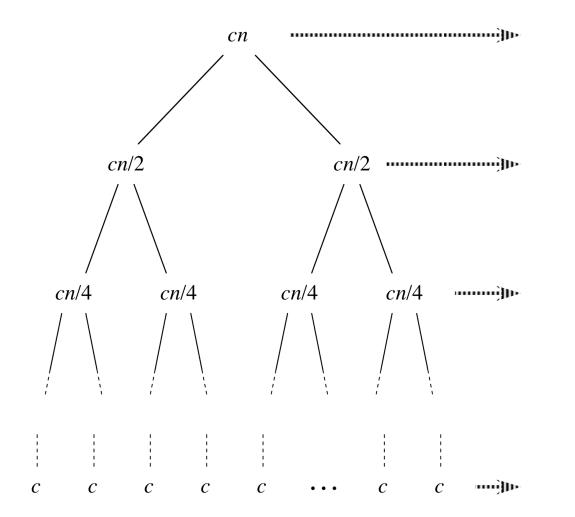
СП

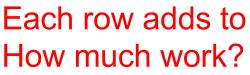
T(n/2)T(n/2)

Merge sort: recursion tree Let's expand each T(n/2): $T(n/2) = 2T(\frac{n/2}{2}) + c(n/2)$

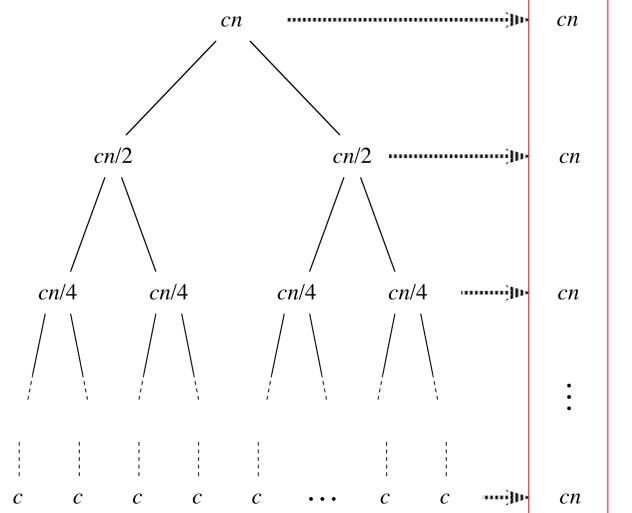


Merge sort: recursion tree Let's keep expanding...





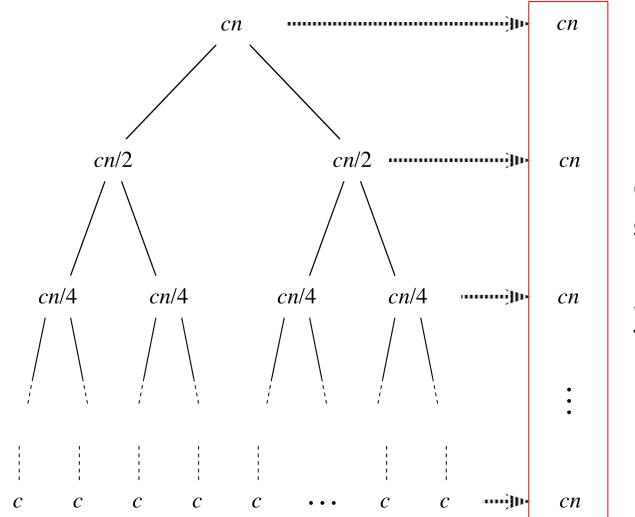
Merge sort: recursion tree Let's keep expanding...



Cost per level stays the same!

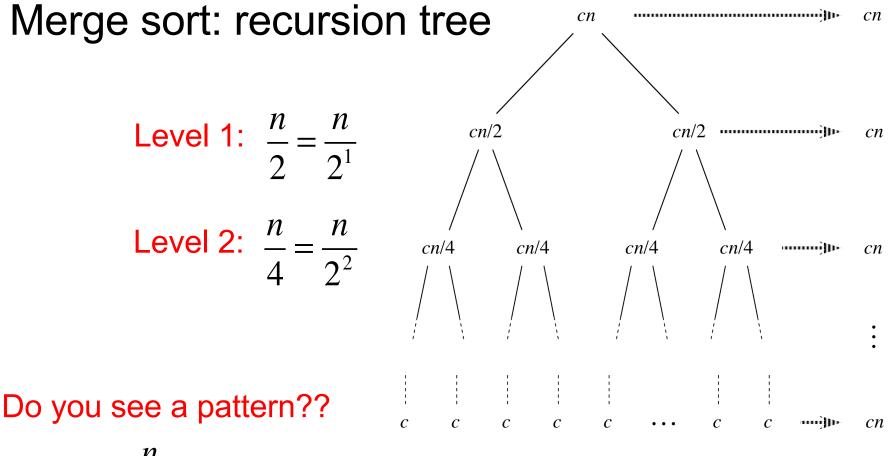
So what is the Total cost?

Merge sort: recursion tree Let's keep expanding...

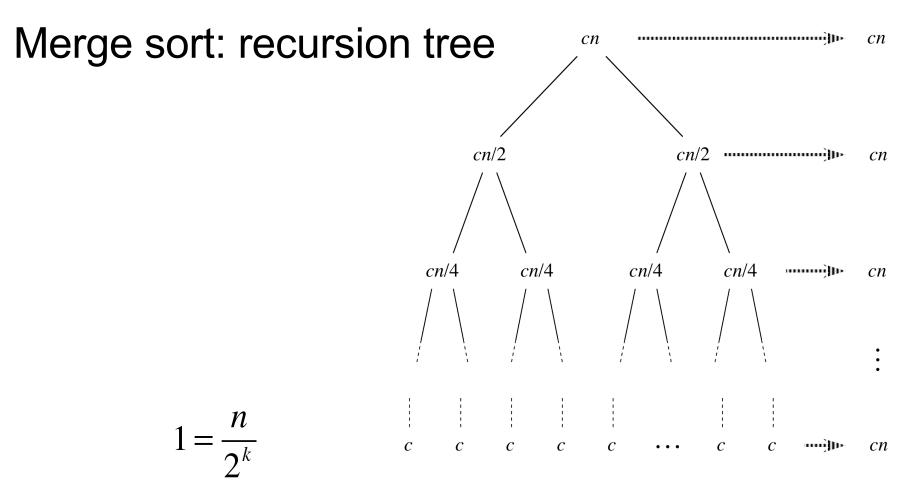


Cost per level stays the same! So what is the Total cost?

Need to know height of tree

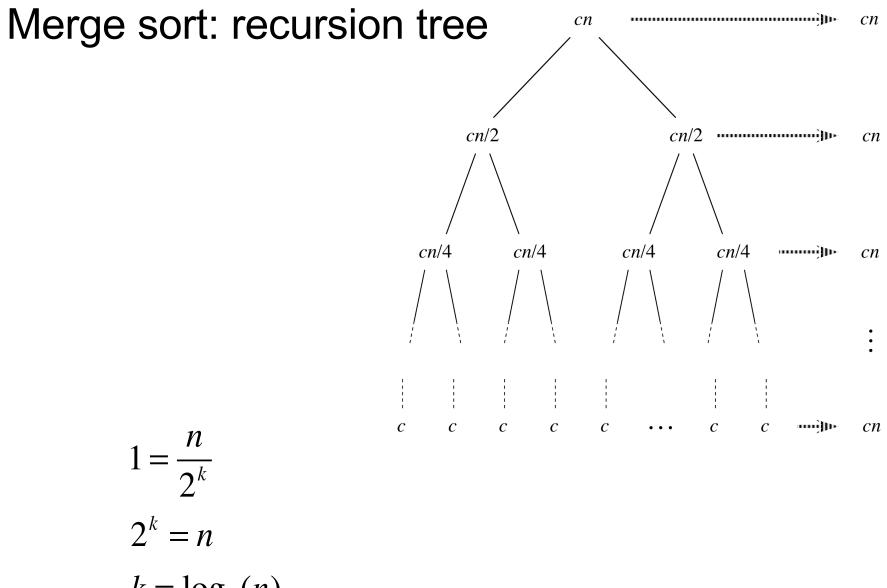


Level k? $\frac{n}{2^k}$

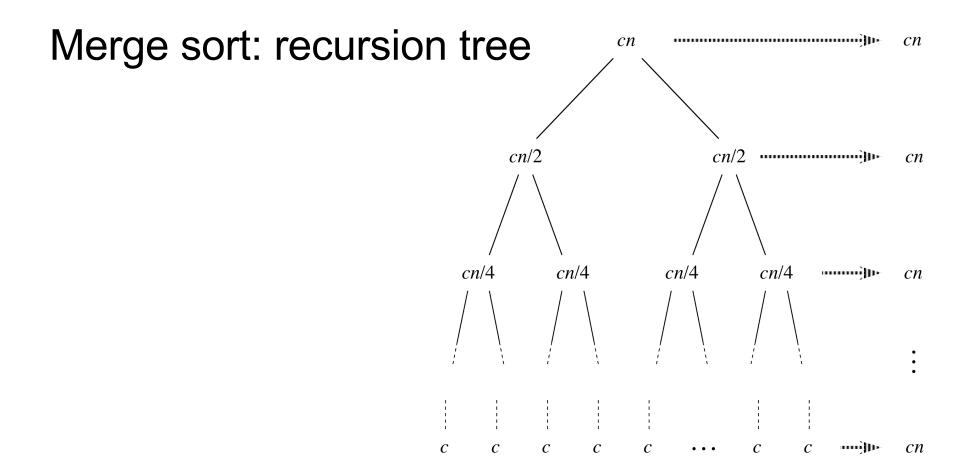


Level of leaf nodes, we know that n=1; need to find level k.

Why do we care? Level k will give us height of the tree



 $k = \log_2(n)$



Number of levels: Work at each level:

 $k = \log_2(n)$ cn

Number of levels: $log_2(n)$ Work at each level:cn

Total work: $cn \log_2(n)$

As usual, we'll ignore constants. Grows as $n \log_2(n)$

What happens for the best case in Merge sort?

Number of levels: $log_2(n)$ Work at each level:cn

Total work: $cn \log_2(n)$

As usual, we'll ignore constants. Grows as $n \log_2(n)$

What happens for the best case in Merge sort? Same!

Summary:

Insertion sort: grows as n^2

Merge sort: grows as $n \log_2(n)$

Summary:

Insertion sort: grows as n^2

Merge sort: grows as $n \log_2(n)$

Is Merge sort always faster than Insertion sort? Any disadvantages relative to insertion sort? Poll on sorting so far...

Some sort animations

Sorting as example: Insertion sort

Animation example:

http://cs.armstrong.edu/liang/animation/web/InsertionSortNew.html

Merge two lists

Animation example:

http://cs.armstrong.edu/liang/animation/web/MergeSortNew.html

Correctness & loop invariants

Correctness and loop invariants

- How do we know that an algorithm is correct, i.e., always gives the right answer?
- We use loop invariants

Loop invariants

- Invariant = something that does not change
- Loop invariant = a property about the algorithm that does not change at every iteration before the loop
- This is usually the property we would like to prove is correct about the algorithm!
- The essence is intuitive, but we would like to state mathematically

Loop invariant example: insertion sort

Insertion sort pseudo code

1 2

3

4

5

6 7

8

INSERTION-SORT(A)

for j = 2 to A.length key = A[j]// Insert A[j] into the sorted sequence A[1 ... j - 1]. i = j - 1while i > 0 and A[i] > key A[i + 1] = A[i] i = i - 1A[i + 1] = key

KEY

2 3

2 4

5

(a)

4

6

5

1

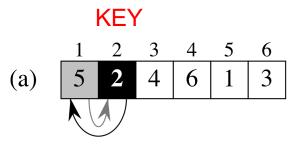
6

3

What invariant property would make this algorithm correct?

Loop invariant example: insertion sort

Insertion sort pseudo code

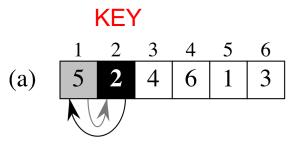


- 1. For j = 2 to n
- 2. Key = A[j]
- 3. Insert Key into sorted array A[1 .. j-1] by comparing and swapping into correct position

What invariant property would make this algorithm correct?

Loop invariant example: insertion sort

Insertion sort pseudo code



- 1. For j = 2 to n
- 2. Key = A[j]
- 3. Insert Key into sorted array A[1 .. j-1] by comparing and swapping into correct position

What invariant property would make this algorithm correct? That before each iteration of the for loop, the elements thus far are sorted. We would like to state this more formally

- Insertion sort pseudo code
 - 1. For j = 2 to n
 - 2. Key = A[j]
 - 3. Insert Key into sorted array A[1 .. j-1] by comparing and swapping into correct position

 Insertion sort loop invariant: at the start of each iteration of the for loop, A[1..j-1] consists of elements originally in A[1..j-1], but in sorted order

Loop invariants

Proving involves 3 steps:

- 1. Initialization: Algorithm is true prior to first iteration of the loop (base case)
- 2. Maintenance: If it is true before an iteration of the loop it remains true before the next iteration (like an induction step)
- 3. When the loop terminates, the invariant gives a useful property that shows the algorithm is correct

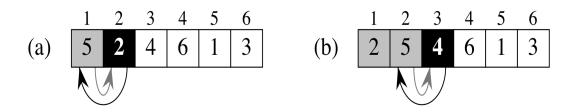
1. Initialization: Algorithm is true prior to first iteration of the loop (base case)

When j=2, A[1] is just one element, which is the original element in A[1], and must be already sorted. So A[1..j-1] = A[1] which is already sorted

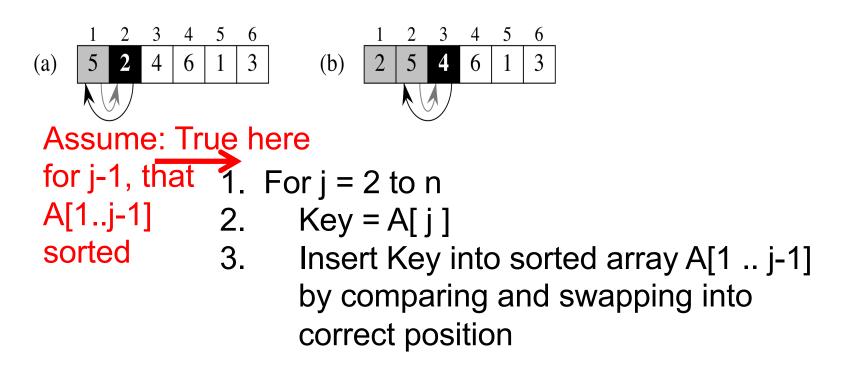
 $j=2 \longrightarrow 1$. For j = 2 to n

 Insert Key into sorted array A[1 .. j-1] by comparing and swapping into correct position

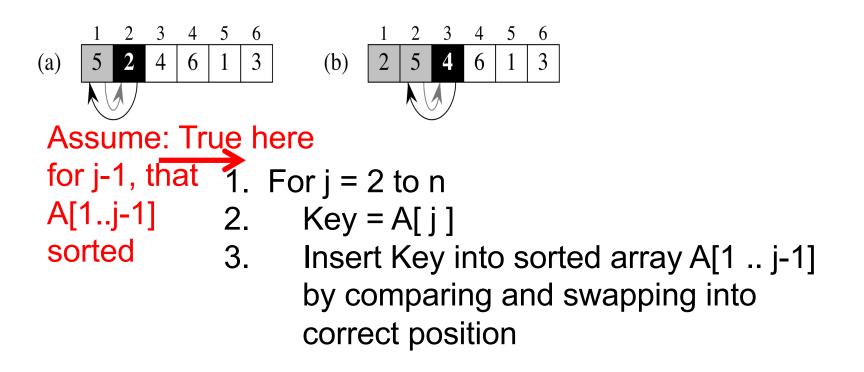
2. Maintenance: If it is true before an iteration of the loop it remains true before the next iteration (like an induction step).



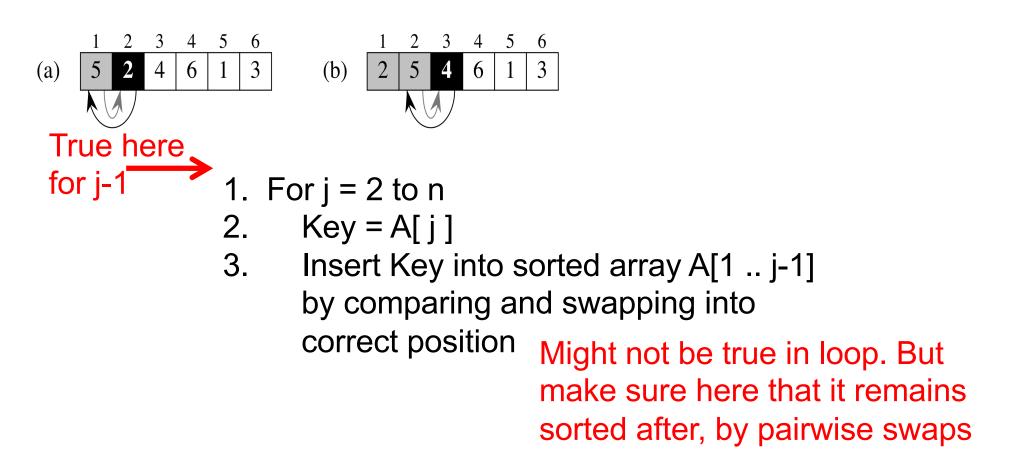
2. Maintenance: If it is true before an iteration of the loop it remains true before the next iteration (like an induction step)



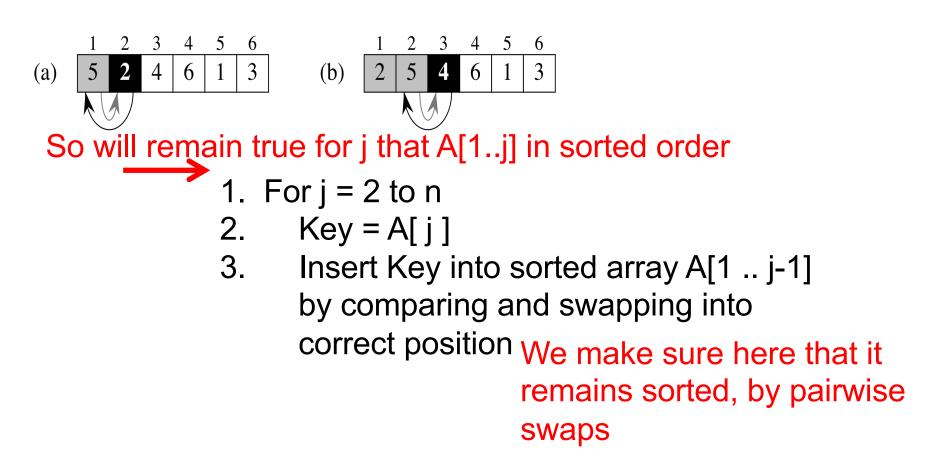
2. Maintenance: If for j-1 it is true that A[1..j-1] in sorted order before start of the for loop, then for j we will have A[1..j] in sorted order before start of next for loop iteration



2. Maintenance: If for j-1 it is true that A[1..j-1] in sorted order before start of the for loop, then for j we will have A[1..j] in sorted order before start of next for loop iteration



2. Maintenance: If for j-1 it is true that A[1..j-1] in sorted order before start of the for loop, then for j we will have A[1..j] in sorted order before start of next for loop iteration



2. Maintenance: If it is true before an iteration of the loop it remains true before the next iteration (like an induction step)

If A[1..j-1] sorted before iteration of loop, then for key=A[j], we pairwise swap it into correct position; so now A[1..j] is also sorted

3. When the loop terminates, the invariant gives a useful property that shows the algorithm is correct

For loop to terminate j=n+1; for this to happen, A[1..j] must be in sorted order, which is A[1..n] or the entire array.

j=n+1
1. For j = 2 to n
2. Key = A[j]
3. Insert Key into sorted array A[1 .. j-1] by comparing and swapping into correct position

Input: Array A Output: Find minimum value in array A

MINIMUM(A) $1 \quad min = A[1]$ $2 \quad for \ i = 2 \ to \ A. length$ $3 \quad if \ min > A[i]$ $4 \quad min = A[i]$ $5 \quad return \ min$

What invariant would make this algorithm correct?

Input: Array A Output: Find minimum value in array A

MINIMUM(A) $1 \quad min = A[1]$ $2 \quad for \ i = 2 \ to \ A. length$ $3 \quad if \ min > A[i]$ $4 \quad min = A[i]$ $5 \quad return \ min$

What invariant would make this algorithm correct? That at each iteration of the loop, we have identified the smallest element thus far. Stated more formally...

Input: Array A Output: Find minimum value in array A

MINIMUM(A) $1 \quad min = A[1]$ $2 \quad for \ i = 2 \ to \ A. length$ $3 \quad if \ min > A[i]$ $4 \quad min = A[i]$ $5 \quad return \ min$

Loop invariant: At the start of each iteration of the for loop, min is the smallest element in A[1 .. i-1]

Animation example (Burt Rosenberg) http://www.cs.miami.edu/~burt/learning/Csc517.101/workbook/findmin.html

Input: Array A Output: Find minimum value in array A

```
MINIMUM(A)
1 \quad min = A[1]
2 \quad for \ i = 2 \ to \ A. length
3 \quad if \ min > A[i]
4 \quad min = A[i]
5 \quad return \ min
```

Loop invariant of Merge

